

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#3

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE
(DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER		
CASM116373		
U.S. APPLICATION NO. (if known see 37 C.F.R. 1.5)		
09/673,428		
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/EP99/02771	21 April 1999	30 April 1998
TITLE OF INVENTION		
SECURITY ELEMENT TO PREVENT COUNTERFEITING OF VALUE DOCUMENTS		
APPLICANT(S) FOR DO/EO/US		
1. Paul LIPPENS		
2. Gary POWER		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information by Express Mail:

- _____ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- X 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 37 U.S.C. 371.
- _____ 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
- _____ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- _____ 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - _____ a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - _____ b. has been transmitted by the International Bureau.

12/08/2000 ERIHANDO 00000109 09673428

01 FC:154

130.00 DP

LAW OFFICES OF
CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
(206) 682-8100

- _____ c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- _____ 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- _____ 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
- _____ a. are transmitted herewith (required only if not transmitted by the International Bureau).
- _____ b. have been transmitted by the International Bureau.
- _____ c. have not been made; however, the time limit for making such amendments has NOT expired.
- _____ d. have not been made and will not be made.
- _____ 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- X 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). **(Two declarations enclosed.)**
- _____ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

- _____ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
- _____ 12. Two assignment documents for recording. Two separate cover sheets in compliance with 37 C.F.R. 3.28 and 3.31 are included.
- _____ 13a. A FIRST preliminary amendment.
- _____ 13b. A SECOND or SUBSEQUENT preliminary amendment.
- _____ 14. A substitute specification.
- _____ 15. A change of power of attorney and/or address letter.
- X 16. Other items or information:
- _____ a. Copy of Notification of Missing Requirements dated Nov. 6, 2000.

<u> X </u> 17. The following fees are submitted:				CALCULATIONS <small>PTO USE ONLY</small>	
BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):					
Search Report has been prepared by the EPO or JPO \$840					
International preliminary examination fee paid to USPTO (37 CFR 1.482)... \$670					
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$690					
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$970					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$96					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$-- --	
Surcharge of \$130 for furnishing the oath or declaration later than <u> 20 </u> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 =		X \$18	\$	
Independent claims	- 3 =		X \$78	\$	
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)			+ \$260	\$	
TOTAL OF ABOVE CALCULATIONS =				\$130	
SUBTOTAL =				\$130	
Processing fee of \$130 for furnishing the English translation later than <u> 20 </u> <u> </u> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +				\$	
TOTAL NATIONAL FEE =				\$130	
Fee for recording the enclosed two assignments (37 CFR 1.21(h)). The assignments must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				\$	
TOTAL FEES ENCLOSED =				\$130	
				Amount to be: refunded	\$
				charged	\$

 X 17a. Check No. 122922 for \$130 to cover the above fee is enclosed.

LAW OFFICES OF
 CHRISTENSEN O'CONNOR
 JOHNSON KINDNESS^{PLLC}
 1420 Fifth Avenue
 Suite 2800
 Seattle, Washington 98101
 (206) 682-8100

_____ 17b. Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.


X 17c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1740. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

Gary S. Kindness, Esq.
CHRISTENSEN O'CONNOR JOHNSON & KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, WA 98101

Respectfully submitted,

CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}


Gary S. Kindness
Registration No. 22,178
Direct Dial (206) 695-1702

EXPRESS MAIL CERTIFICATE

"Express Mail" mailing label number EL599479169US

Date of Deposit December 5, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Maria G. Wood

(Typed or printed name of person mailing paper or fee)

Maria G. Wood

(Signature of person mailing paper or fee)

GSK/PAS:lsj

LAW OFFICES OF
CHRISTENSEN O'CONNOR
JOHNSON KINDNESS^{PLLC}
1420 Fifth Avenue
Suite 2800
Seattle, Washington 98101
(206) 682-8100